OIPE JC34
JUL 0 2 2004
PATENT & TRADEMARK OFFICE

PTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/774,368
Filing Date	February 10, 2004
First Named Inventor	David A. PENSAK
Art Unit	2127
Examiner Name	
Attorney Docket Number	13951.0002

Total Number of Pages in This Submission

ENCLOSURES *(Check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☑ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
- ☐ After Allowance communication to Technology Center (TC)
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☑ Other Enclosure(s) (please identify below):

PTO-1449
One Reference
Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Stuart T. F. Huang Steptoe & Johnson
Signature	#34,184
Date	June 30, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Katie S. Lee		
Signature	Katie S. Lee	Date	6/30/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

OIPE
JUL 0 2 2004
PATENT & TRADEMARK OFFICE
JC34

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): DAVID A. PENSAK ET AL

Group Art Unit.: 2127

Serial No.: 10/774,368

Examiner: N/A

Filed: February 10, 2004

Confirmation No.: 9526

Title: SYSTEM AND METHOD FOR REGULATING EXECUTION OF COMPUTER SOFTWARE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

The undersigned hereby submits the attached form PTO-1449, as well as copy of the document cited therein.

It is respectfully requested that the reference be expressly considered during the prosecution of this application and made of record herein and among the "References Cited" on any patent to issue herefrom. Consideration of the foregoing and enclosure, plus the return of the enclosed form PTO-1449 with the Examiner's initial in the left-hand column (per MPEP § 609), are earnestly solicited.

This Information Disclosure Statement is intended to be in full compliance with the Rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to the effect is earnestly solicited, along with additional time under Rule 97(f), to enable applicant to comply fully.

Should there be any questions, the Examiner is invited to contact the undersigned at the below-listed number.

This Information Disclosure Statement is submitted before the receipt of the first Office Action. Therefore, no fee is believed to be necessary. However, the Commissioner is hereby authorized to charge any necessary fees or credit any overpayment to Deposit Account No. 19-4293.

Respectfully submitted,

June 29, 2004

Stuart T. F. Huang
Reg. No. 34,184

STEPTOE & JOHNSON LLP
1330 Connecticut Ave., N.W.
Washington, DC 20036

Tel. (202) 429-3000
Fax (202) 429-3902

Date Mailed: 6/29/04 Sheet 1 of 1

OIPE
JUL 0 2 2004
PATENT & TRADEMARK OFFICE
JC34

FORM 1449 (S&J Version)	Docket No.: 13951.0002	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant: PENSAK et al.	
	Application No.: 10/774,368	
	Filing Date: February 10, 2004	
	Examiner:	Group Art Unit: 2127

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document No.	Date MM/YYYY	Inventor	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

Examiner's Initials*	Document No.	Date MM/YYYY	Country	Class	Subclass	Translation	
						Yes	No

OTHER DOCUMENTS

Examiner's Initials*	Include author, title of article, title of item (book, journal, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	Tamper Resistant Software: An Implementation, by David Aucsmith, *Lecture Notes in Computer Science 1174*, pp. 317-333, from Lecture Notes in Computer Science 1174, Ross J. Anderson (Ed.), *Information Hiding*, First International Workshop, Cambridge, U.K., May/June 1996 Proceedings. Springer 1996 ISBN 3-540-61996-8.

Examiner's Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.